

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/807,889	04/19/2001	2183	1180	1454.1049RAG	6	19	1

CONFIRMATION NO. 7435

FILING RECEIPT



OC000000006089895

21171
STAAS & HALSEY LLP
700 11TH STREET, NW
SUITE 500
WASHINGTON, DC 20001

Date Mailed: 05/22/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Umesh Bhavsar, Boca Raton, FL;
Jan Hamann, Muenchen, GERMANY;
Ralf Rieken, Muenchen, GERMANY;
Thomas Werner, Muenchen, GERMANY;

RECEIVED

AUG 28 2001

Technology Center 2100

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/DE99/03207 10/05/1999

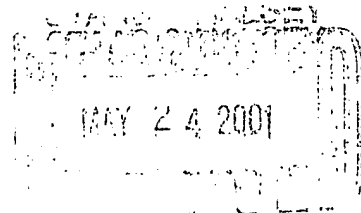
Foreign Applications

GERMANY 19848114.4 10/19/1998

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No



Title

Network architecture for communication networks or data networks

Preliminary Class



Rec'd PCT/PTO 17 JUL 2001

RECEIPT
S&H Form: (2/01)

DOCKET NO. 1454.1049

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Umesh BHAVSAR et al.

Serial No: 09/807,889

Group Art Unit: 2183

Confirmation No. 7435

Filed: April 19, 2001

Examiner:

For: NETWORK ARCHITECTURE FOR COMMUNICATION NETWORKS AND/OR DATA NETWORKS

RECEIVED

AUG 28 2001

Technology Center 2100

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

It is requested that the number of total claims on the Official Filing Receipt be corrected. The correct number of total claims is 9, as is evidenced by the copy of Preliminary Amendment and postcard receipt evidencing filing of Preliminary Amendment, attached to the application as filed. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the error has been noted in red.

It is requested that a corrected Official Filing Receipt be issued in this application.

Respectfully submitted,

STAAS & HALSEY LLP

Date: _____

7/17/01

By: _____

Richard A. Gollhofer

Richard A. Gollhofer
Registration No. 31,106

700 Eleventh Street, N.W., Suite 500
Washington, D.C. 20001
Telephone: (202) 434-1500
Facsimile: (202) 434-1501



Please return this card, indicating receipt date and Serial No., if applicable, of the following
PCT TRANSMITTAL; PCT APPLICATION, INTERNATIONAL SEARCH REPORT; ENGLISH
TRANSLATION; PRELIMINARY AMENDMENT; DECLARATION; ASSIGNMENT;
INFORMATION DISCLOSURE STATEMENT AND CHECK FOR \$12,2400

Applicant(s):
Title: Umesh
Serial No.: NETWC
DATA I
Filing Date: (Unass
Docket No.: 18 Apr
Due Date: 1454.1
18 AP

Applicant(s): Umesh BHAVSAR
Title: NETWORK ARCHITECTURE FOR COMMUNICATION NETWORKS OR
Serial No.: DATA NETWORKS
Filing Date: (Unassigned)
Docket No.: 18 April 2001
Due Date: 1454.1049/RAG (fcs)
18 APRIL 2001

09/807889

EARLY NOTIFICATION OF SERIAL NUMBER

RECEIVED

AUG 28 2001

Technology Center 2100



DEP/REF #307

S&H Form: (2/01)
Attorney Docket No. 1454,1049

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Umesh BHAVSAR et al.

Application No.: 09/807,889

Group Art Unit: 2183

Confirmation No.: 7435

Filed: April 19, 2001

Examiner: Unassigned

For: NETWORK ARCHITECTURE FOR COMMUNICATION NETWORKS AND/OR DATA NETWORKS

REQUEST FOR REFUND

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

This is a request for refund of a miscalculated fee on a Transmittal Letter. Attached hereto is a copy of the Transmittal Letter (including fee calculations) related to the above-referenced application, along with a copy of the transmittal postcard, bearing the PTO Mail Room date stamp, and a copy of the Preliminary Amendment which was filed concurrently with the above-referenced application. The Transmittal Letter indicated that there were seven (7) independent claims and a payment was made for four (4) extra independent claims in the amount of \$320.00. As evidenced by the attached copy of the Preliminary Amendment, nine (9) total claims were filed, with only one (1) independent claim. Thus, no fee was due for extra independent claims. Accordingly, it is respectfully requested that this overpayment be refunded, and that the sum of \$320.00 be credited to Deposit Account No. 19-3935 and that the Patent Office acknowledge this credit in writing to the undersigned.

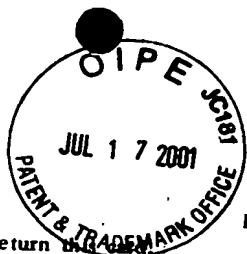
Respectfully submitted,

STAAS & HALSEY LLP

Date: 7/17/01
700 11th Street, N.W., Ste. 500
Washington, D.C. 20001
Washington, D.C. 20001
(202) 434-1500

By: Richard A. Gollhofer
Richard A. Gollhofer
Registration No. 31,106

OFFICE OF PATENT & TRADEMARK EXAMINATION
JUL 24 2001 PM 2:56
U.S. PATENT & TRADEMARK OFFICE



Please return this card, indicating receipt date and Serial No., if applicable, of the following

PCT TRANSMITTAL;
TRANSLATION; P
INFORMATION DI

PCT TRANSMITTAL; PCT APPLICATION, INTERNATIONAL SEARCH REPORT; ENGLISH
TRANSLATION; PRELIMINARY AMENDMENT; DECLARATION; ASSIGNMENT;
INFORMATION DISCLOSURE STATEMENT AND CHECK FOR \$12,2400

09/807889

Applicant(s):
Title: Umesh
Serial No.: NETWC
DATA I
Filing Date: (Unass
Docket No.: 18 Apr
Due Date: 1454.1
18 AP

Applicant(s):
Title: Umesh BHAVSAR
Serial No.: NETWORK ARCHITECTURE FOR COMMUNICATION NETWORKS OR
DATA NETWORKS
Filing Date: (Unassigned)
Docket No.: 18 April 2001
Due Date: 1454.1049/RAG (fcs)
18 APRIL 2001

JC03 Rec'd PCT/PTO

19 APR 2001

EARLY NOTIFICATION OF SERIAL NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

1454.1049/RAG

INTERNATIONAL APPLICATION NO.
PCT/DE99/03207INTERNATIONAL FILING DATE
5 October 1999PRIORITY DATE CLAIMED
19 October 1998TITLE OF INVENTION
NETWORK ARCHITECTURE FOR COMMUNICATION NETWORKS OR DATA NETWORKSAPPLICANT(S) FOR DO/EO/US
Umesh BHAVSAR

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is an express request to immediately begin national examination procedures (35 U.S.C. 371(f)).
3. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
4. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
5. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
6. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☒ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
7. ☒ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
8. ☒ An oath or declaration of the inventor (35 U.S.C. 371(c)(4)).
9. ☐ A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 10-15 below concern document(s) or information included:

10. ☒ An Information Disclosure Statement Under 37 CFR 1.97 and 1.98.
11. ☒ An assignment document for recording.

Please mail the recorded assignment document to:

 - a. ☒ the person whose signature, name & address appears at the bottom of this document.
 - b. ☐ the following:
12. ☒ A preliminary amendment.
13. ☒ A substitute specification
14. ☐ A change of power of attorney and/or address letter.
15. ☒ Other items or information:

International Preliminary Examination Report with amended claims and International Search Report

2. [X] The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees as follows:

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	10 -20 =	0	x \$ 18.00	0.00
	INDEPENDENT CLAIMS	7 -3 =	4	x \$ 80.00	320.00
	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	0.00
	BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4):				860.00
	[] Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$1,000				
	[X] International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.. . . . \$ 860				
	[] International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO.....\$ 710				
	[] International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provision of PCT Article 33(1)-(4).....\$ 690				
	[] International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2) to (4).....\$ 100				
	Surcharge of \$130 for furnishing the National fee or oath or declaration later than [] 20 [] 30 mos. from the earliest claimed priority date (37 CFR 1.482(e)).				0.00
	TOTAL OF ABOVE CALCULATIONS				1,180.00
	Reduction by 1/2 for filing by small entity, if applicable. Affidavit must be filed also. (Note 37 CFR 1.9, 1.27, 1.28.)				
	SUBTOTAL				1,180.00
	Processing fee of \$130 for furnishing the English Translation later than [] 20 [] 30 mos. from the earliest claimed priority date (37 CFR 1.482(f)).				.00
	TOTAL NATIONAL FEE				0.00
	Fee for recording the enclosed assignment (37 CFR 1.21(h)).				40.00
	TOTAL FEES ENCLOSED				1,220.00

- a. [X] A check in the amount of \$ 1,220.00 to cover the above fees is enclosed.
- b. [] Please charge my Deposit Account No. 19-3935 in the Amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-3935. A duplicate copy of this sheet is enclosed.



21171

PATENT TRADEMARK OFFICE

4/19/01
DATE

Richard A. Gollhofer
Richard A. Gollhofer
REGISTRATION NO. 31,106